



ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Tore CURSTEDT, et al.

Serial No: 09/926,009

Filed: August 13, 2001

For: ARTIFICIAL PEPTIDES HAVING SURFACE ACTIVITY AND  
THE USE THEREOF IN THE PREPARATION OF ARTIFICIAL  
SURFACTANT

SIR:

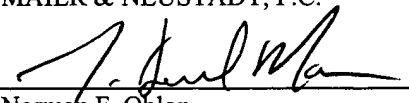
Attached hereto for filing are the following papers:

Notification of Missing Requirements Under 35 U.S.C. 371, Preliminary Amendment (10 pages), Sequence Listing Paper (24 pages), Copy of Date-stamped filing receipt filed December 3, 2001, Copy of Declaration filed December 3, 2001, (3-pages), International Search Report, Information Disclosure Statement, PTO Form 1449, References (5), Sequence Listing (CRF) Computer Readable Form

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon  
Registration No. 24,618

Docket No. 211596US0PCT



Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 12/01)

Daniel J. Pereira, Ph.D.  
Registration No. 45,518

**J. Derek Mason, Ph.D.**  
**Registration No. 35,270**

10-15-01

Commissioner for Patents, Sec PCT, United States Patent and Trademark Office, Washington, D.C. 20531, www.uspto.gov

**URGENT**

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,009	Tore Curstedt	211596US0PCT

22850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

INTERNATIONAL APPLICATION NO.

PCT/EP00/01044

I.A. FILING DATE

02/09/2000

PRIORITY DATE

02/12/1999

CONFIRMATION NO. 5055

371 FORMALITIES LETTER



\*OC000000006875972\*

*Seq. Listing / Resp. to Notice*  
*12-11-01*

Date Mailed: 10/11/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

**RECEIVED**

OCT 15 2001

OBLON, SPIVAK, MCCLELLAND  
MAIER & NEUSTADT, P.C.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable

form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

LAMONT M HUNTER

Telephone: (703) 305-3686

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,009	PCT/EP00/01044	211596US0PCT

O.S.&M. File No. 21159600Pr By NFO/dty FF

Due Date NONE

Serial No. 09/926,009

In the Matter of the Application of Tore CURSTEDT, et al.

For ARTIFICIAL PEPTIDES HAVING SURFACE ACTIVITY AND THE USE THEREOF IN THE PREPARATION OF ARTIFICIAL SURFACTANT

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ \_\_\_ pps. Specification & \_\_\_ Claims (English Translation)
- ☒ Combined Declaration, Petition & Power of Attorney (3 pag s)
- ☒ Submission of Missing Requirements Under 37 CFR 1.495
- ☒ PCT Transmittal Letter
- ☐ Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Submission of Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Check for \$ \_\_\_\_\_; ☒ Dep. Acct. Order Form
- ☐ Declaration of \_\_\_\_\_
- ☐ Assignment \_\_\_\_\_ pages/PTO-1595
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_ sheets
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement; ☐ PTO-1449
- ☐ Cited References ( )
- ☐ \_\_\_\_\_ Search Report
- ☐ Statement of Relevancy
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition
- ☐ Notice of Appeal

**77 Rec'd PCT/PTO 03 OCT 2001**

Date Rec'd \_\_\_\_\_